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08-08-01

PTO/SB/05 (4/98)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Use for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

12263.15

First Named Inventor or  
Application Identifier

Roman J. Hamerski

Title

APPARATUS AND METHOD FOR FABRICATING A HIGH  
REVERSE VOLTAGE SEMICONDUCTOR DEVICE

Express Mail Label No.

EL 881679375 US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ \*Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages [12]  
(preferred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure

3. ☒ Drawing(s) (35 USC 113) [Total Pages [1]

4. Oath or Declaration [Total Pages [3]

- ☒ Newly executed (original or copy)
- ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]

- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
Inventor(s) named in the prior application.  
See 37 CFR §§ 1.63(d)(2) and 1.33(b).

\*NOTE for items 1 & 13: in order to be entitled to pay  
small entity fees, a small entity statement is required (37  
C.F.R. § 1.27), except if one filed in a prior application is  
relied upon (37 C.F.R. § 1.28).

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

7. ☒ Assignment Papers (cover sheet & documents))
8. ☐ 37 CFR § 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(should be specifically itemized)
13. ☐ Small Entity Statement filed in prior application,  
Statement(s) Status still proper and desired
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☒ Other: checks \$1,048.00 and \$40.00. ....



17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment.

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No:

Prior application information: Examiner Group/Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under  
Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.  
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label				Or Correspondence address below	
NAME		27526			
ADDRESS		PATENT TRADEMARK OFFICE			
CITY	STATE	ZIP CODE			
COUNTRY	TELEPHONE	(816) 983-8000		FAX	(815) 983-8080
Name (Print/Type)		Kyle L. Elliott		Registration No. (Attorney/Agent)	39,485
Signature				Date	8/7/01

SEND TO: Assistant Commissioner for Patents Box Patent Application, Washington, DC 20231

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**FEE TRANSMITTAL  
for FY 2001***Patent fees are subject to annual revision**Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB109-12.  
See 37 C.F.R. §§ 1.27 and 1.28***TOTAL AMOUNT OF PAYMENT** (\$1,088 00)**Complete if Known**

Application Number	
Filing Date	
First Named Inventor	Roman J. Hamerski
Examiner Name	
Group/Art Unit	
Attorney Docket No.	12263.15

**METHOD OF PAYMENT (check one)**

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 11-0160

Deposit Account Name BLACKWELL SANDERS PEPER MARTIN LLP

- ☒
- Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

- 2.
- ☒
- Payment Enclosed:**
- 
- ☒
- Check
- ☐
- Money
- ☐
- Other Order

**FEE CALCULATION****BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	690	201	345	Utility filing fee	710
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	690	208	345	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)					(\$710 00)

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
21	-20** = 1	x 18 = 18	
Independent Claims	7	-3** = 4	x 80 = 320
Multiple Dependent			

\*\* or number previously paid, if greater For Reissues, see below

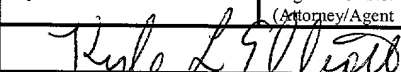
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim, if not paid
109	78	209	39	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL 2				(\$)338 00

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - last filing fee or oath	
127	50	22	725	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1,360	218	680	Extension for reply within fourth month	
128	1,850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40 00
146	690	246	345	Filing a submission after final rejection (37 CFR § 1,129(a))	
149	690	249	345	For each additional invention to be examined (37 CFR § 1,129(b))	
Other fee (specify)					
Other fee (specify)					
SUBTOTAL (3)					(\$40 00)

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY**

Name (Print/Type)	Kyle L. Elliott	Registration No.	39,485	Telephone	(816) 983-8351
Signature		(Attorney/Agent)		Date	8-7-01

**Complete (if applicable)****WARNING**

Information on this form may become public. Credit card information should not be

Included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231